SFP SIGNATURE FINANCIAL PARTNERS, LLC

Wealth Management *for Individuals*
Financial Solutions *for Businesses*

WHEN WORKING with Signature Financial Partners, LLC our clients benefit from access to a wide range of products and services. This is achieved through our open architecture platform and relationships with industry leading financial firms, service providers and insurance carriers. Our independent structure allows for a unique objectivity—*our clients always come first*—and it is important that you understand how we operate with our trusted relationships.

We meet with our clients through one-on-one financial counseling sessions, benefit fairs, enrollment meetings and phone calls. Not only do we answer pertinent questions regarding their retirement plan, but we encourage open dialogue regarding any financial issue weighing on their mind. With this open communication we may be able to provide added guidance as needed. This hi-touch service is part of the value we provide to all of our clients.

Since 1981 we have been serving the DC area by creating financial strategies for individuals, families and businesses. With our personalized approach to helping our clients build, maintain and protect their assets, we focus on three key areas of portfolio management:

401k, Retirement Services, & Executive Compensation

Wealth, Estate, Investment Management

Insurance Protection & Other Services

We are supported by the following professional partnerships:

Signature Investment Advisors (SIA)

Signature Investment Advisors is a wholly owned subsidiary of Signature Estate & Investment Advisors, LLC®, which has $4.5 billion in assets under management as of December 31, 2014. SIA offers investment advisory services tailored to meet the unique needs of affluent individuals and corporations.*

Crump

An insurance brokerage firm providing access to products from leading insurance carriers.

*SIA and its investment advisory services are offered independent of Signator Investors, Inc., and any subsidiaries or affiliates. A wholly owned subsidiary of Signature Estate & Investment Advisors, LLC.

Daryl S. Brockman, AIF®

president & CEO

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Mission

With over 25 years of experience in the financial industry, Daryl's mission is to help enhance his client's well-being and financial success by providing them with sound financial advice and exceptional service, while operating with the utmost integrity and professionalism.

Areas of Focus

Through diverse networks and professional relationships he continues to build a dedicated team of experienced and knowledgeable financial professionals prepared to assist clients in achieving their business, individual and family goals. Daryl's core practice areas are focused on:

- 401(k) Management
- Executive Benefits
- Asset Management
- Estate Planning Strategies

Credentials

Accredited Investment Fiduciary (AIF®)

The AIF® designation has been the mark of commitment to a standard of investment fiduciary excellence. Those who earn the AIF mark successfully complete a specialized program on investment fiduciary standards of care and subsequently passed a comprehensive examination.

FINRA and SEC registrations

FINRA Series 6, 7, 24, 51, 63, 65 Professionally Licensed
Life & Health Insurance Licensed

Associations

- YPO International: Washington/Baltimore Chapter
- Financial Planning Association (FPA)
- Managing Partners Association, *President*
- Chapter International Association of Financial Planning (IAFP)
- Alzheimer's Association: National Chapter, *Charitable Board Member Emeritus*

Personal

Daryl was born in Royal Oak, Michigan and graduated from Miami University (located in Oxford, Ohio) with a B.S. in Finance and an emphasis in Marketing & Economics. Upon graduation Daryl relocated to Great Falls, Virginia where he has lived with his family for the past 23 years. In 1995 Daryl became the President & CEO of Signature Financial Partners, LLC and since then has grown the company to a top, award winning firm within the John Hancock Financial Network.

Daryl and his wife, Christina, are proud parents of their two children: 17 year old Alex and 15 year old Taylor. With a passion for sports, Daryl is frequently involved in Great Falls athletics and coaches basketball and baseball in his free time. He is also an avid golfer and member at the Trump National Golf Club of Washington DC and Kinloch Golf Club.

Industry Accolades

SmartCEO Top Money Manager (June 2012)

- SmartCEO recognizes standout wealth managers that are nominated by CEO & CFO readers of the magazine.
- These exemplary wealth mangers rose to the top of the list by garnering the utmost respect and trust from Washington's most influential executives.

Accredited Investment Fiduciary (AIF®) designation

- The Accredited Investment Fiduciary (AIF®) designation represents a thorough knowledge of an ability to apply these fiduciary practices. AIF® designees have a reputation in the industry for the ability to implement a prudent process into their own investment practices as well as being able to assist others in implementing proper policies and procedures.

The Plan Sponsor University ("TPSU") Adjunct Professor

- One of only 20 nationally approved Adjunct Professors in the Retirement Plan arena conducting local C(k)P® (Certified 401(k) Professional) classroom lectures at local colleges in the DC metro region.
- The Plan Sponsor University Adjunct Professor ("TPSU") Adjunct Lecturer opportunity is reserved for elite retirement professionals who meet specific industry qualifications and are evaluated and selected by an independent board of distinguished industry professionals.
- TPSU, an affiliate of The Retirement Advisor University ("TRAU"), in conjunction with UCLA, offers the first comprehensive online and in-person workplace retirement plan certification program for business owners, benefits specialists and other employer fiduciaries. It offers employers charged with the responsibility of company retirement plan oversight the opportunity to increase their knowledge and expertise in areas such as retirement plan design and operation, management of their fiduciary obligations, and improving outcomes.

John Hancock Hall of Fame

- Due to our relationship with John Hancock's Broker/Dealer, Signator Investors, Inc., Daryl S. Brockman, AIF® has been inducted as one of only 36 active national members into John Hancock's Hall of Fame for "exemplary service, productivity, and professionalism."

National Association of Plan Advisors ("NAPA") Pending Board Member

- Daryl Brockman has been requested to be a Member of the National Board, which is currently pending board approval.
- There are over 300,000 financial advisors in the U.S. and approximately 3,500 retirement advisors nationally according to NAPA.